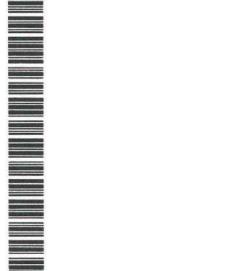


CTS CMES LOS ANGELES CA CORPORATE TRUST SERVICES MAC E2818-176 707 WILSHIRE BOULEVARD, 17TH FLOOR LOS ANGELES, CA 90017-3501



WA3019



## J.H. BAXTER & COMPANY TRUST REGULATORY TRUST

RECEIVED MAY - 7 2014 U.S. EPA REGION 10 OFFICE OF COMPLIANCE AND ENFORCEMENT

ACCOUNT NUMBER 10742200 ANNUAL STATEMENT MAY 1, 2013 THROUGH APRIL 30, 2014

U.S. ENVIRONMENTAL PROTECTION AGENCY ATTN: KIMBERLY OGLE, REGION 10 1200 SIXTH AVE., MAIL STOP WCM-126 SEATTLE, WA 98101

ACCOUNT MANAGER: KHEANG B. TAN TELEPHONE NUMBER: 213-614-4117

ADMINISTRATOR: MORA KANG TELEPHONE NUMBER: 213-614-4116

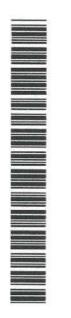


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#### J.H. BAXTER REG TRUST ACCOUNT NUMBER 10742200



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ASSET SUMMARY AS OF APRIL 30, 2014

### J.H. BAXTER REG TRUST ACCOUNT NUMBER 10742200

# **ASSET SUMMARY**

INVESTMENT CATEGORY	COST VALUE	MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED I NCOME
CASH	0.00	0.00		
CASH EQUIVALENTS	12,009.38	12,009.38	0.00	0.10
TOTAL INVESTMENTS	12,009.38	12,009.38	0.00	0.10





STATEMENT OF ASSETS AS OF APRIL 30, 2014

#### J.H. BAXTER REG TRUST ACCOUNT NUMBER 10742200

## STATEMENT OF ASSETS

PAR VALUE/SHARES	DESCRIPTION	COST VALUE / UNIT COST	MARKET VALUE / UNIT PRICE	UNREAL I ZED GAIN/LOSS	ACCRUED INCOME
CASH EQUIVALENTS					
12,009.38	WELLS FARGO ADVANTAGE GOVERNMENT MONEY MARKET SERVICE - #743	12,009.38	12,009.38	0.00	0.10
TOTAL CASH EQUIVAL	ENTS	12,009.38	12,009.38	0.00	0.10
TOTAL INVESTMENTS		12,009.38	12,009.38	0.00	0.10

Values reflected for publicly—traded assets are from unaffiliated financial industry sources believed to be reliable. Values for non-publicly traded assets may be determined from other unaffiliated sources. Assets for which a current value is unavailable may be reflected at the last reported price, at par, or may be shown as having nominal or no value. Reported values may not be the price at which an asset may be sold. Asset values are updated as pricing becomes available from external sources, and may be updated less frequently than statements are generated.





CASH SUMMARY FOR THE PERIOD MAY 1, 2013 THROUGH APRIL 30, 2014

J.H. BAXTER REG TRUST ACCOUNT NUMBER 10742200

# **CASH SUMMARY**

DESCRIPTION	PRINCIPAL CASH	INCOME CASH	
BEGINNING BALANCE	0.00	0.00	
RECEIPTS			
NET INTEREST COLLECTED TRANSFER RECEIPTS CASH MANAGEMENT SALES	1.04 0.19 1,500.00	0.19 0.00 0.00	
TOTAL CASH RECEIPTS	1,501.23	0.19	
DISBURSEMENTS			
INVESTMENT MANAGEMENT EXPENSES TRANSFER DISBURSEMENTS CASH MANAGEMENT PURCHASES	1,500.00- 0.00 1.23-	0.00 0.19- 0.00	
TOTAL CASH DISBURSEMENTS	1,501.23-	0.19-	
ENDING BALANCE	0.00	0.00	



STATEMENT OF TRANSACTIONS FOR THE PERIOD MAY 1, 2013 THROUGH APRIL 30, 2014

J.H. BAXTER REG TRUST ACCOUNT NUMBER 10742200

# STATEMENT OF TRANSACTIONS

DATE	PAR VALUE/SHARES	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST VALUE	REALIZED GAIN/LOSS
		BEGINNING BALANCE	0.00	0.00	13,508.15	
05/01/	13	INTEREST RECEIVED WF ADV GOVERNMENT MM FD-SVC #743 INTEREST FROM 4/1/13 TO 4/30/13	0.11			
06/03/	13	INTEREST RECEIVED WF ADV GOVERNMENT MM FD—SVC #743 INTEREST FROM 5/1/13 TO 5/31/13	0.11			
06/19/	13	TRUSTEE FEE PAID TO WELLS FARGO FEES WF CTS FEES & EXPENSES -INVOICE# 961089	1,500.00-			
07/01/	13	INTEREST RECEIVED WF ADV GOVERNMENT MM FD-SVC #743 INTEREST FROM 6/1/13 TO 6/30/13	0.11			
08/01/	13	INTEREST RECEIVED WF ADV GOVERNMENT MM FD-SVC #743 INTEREST FROM 7/1/13 TO 7/31/13	0.10			
09/03/	3	INTEREST RECEIVED WF ADV GOVERNMENT MM FD-SVC #743 INTEREST FROM 8/1/13 TO 8/31/13	0.10			
10/01/	3	INTEREST RECEIVED WF ADV GOVERNMENT MM FD-SVC #743 INTEREST FROM 9/1/13 TO 9/30/13	0.10			
11/01/	3	INTEREST RECEIVED WF ADV GOVERNMENT MM FD-SVC #743	0.10			
		INTEREST FROM 10/1/13 TO 10/31/13				

STATEMENT OF TRANSACTIONS FOR THE PERIOD MAY 1, 2013 THROUGH APRIL 30, 2014

J.H. BAXTER REG TRUST ACCOUNT NUMBER 10742200

# STATEMENT OF TRANSACTIONS

DATE	PAR VALUE/SHARES	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST VALUE	REALIZED GAIN/LOSS
12/02/13		INTEREST RECEIVED WF ADV GOVERNMENT MM FD—SVC #743 INTEREST FROM 11/1/13 TO 11/30/13	0.10			
01/02/14		INTEREST RECEIVED WF ADV GOVERNMENT MM FD—SVC #743 INTEREST FROM 12/1/13 TO 12/31/13	0.11			
02/03/14		INTEREST RECEIVED WF ADV GOVERNMENT MM FD-SVC #743 INTEREST FROM 1/1/14 TO 1/31/14	0.10			
03/03/14		INTEREST RECEIVED WF ADV GOVERNMENT MM FD-SVC #743 INTEREST FROM 2/1/14 TO 2/28/14		0.09		
03/03/14		TRANSFER TO PRINCIPAL		0.09-		
03/03/14		ADDITION TO ACCOUNT TRANSFER FROM INCOME	0.09			
04/01/14		INTEREST RECEIVED WF ADV GOVERNMENT MM FD-SVC #743 INTEREST FROM 3/1/14 TO 3/31/14		0.10		
04/01/14		TRANSFER TO PRINCIPAL		0.10-		
04/01/14		ADDITION TO ACCOUNT TRANSFER FROM INCOME	0.10			
04/30/14	1.23	CASH SWEEP PURCHASES FOR STMT PERIOD WF ADV GOVERNMENT MM FD—SVC #743 12 TRANSACTIONS	1.23-		1.23	
04/30/14	1,500-	CASH SWEEP SALES FOR STMT PERIOD WF ADV GOVERNMENT MM FD-SVC #743 1 TRANSACTION	1,500.00		1,500.00-	
	## ## ## ## ## ## ## ## ## ## ## ## ##	ENDING BALANCE	0.00	0.00	12,009.38	



CASH MANAGEMENT TRANSACTION JOURNAL FOR THE PERIOD MAY 1, 2013 THROUGH APRIL 30, 2014 J.H. BAXTER REG TRUST ACCOUNT NUMBER 10742200

# CASH MANAGEMENT TRANSACTION JOURNAL

DATE	PAR VALUE/SHARES	DESCRIPTION	,	PRINCIPAL CAS	SH	INCOME CASH
05/01/13	0.11	PURCHASED WF ADV GOVERNMENT MM F	D-SVC #743	0.	. 11–	
06/03/13	0.11	PURCHASED WF ADV GOVERNMENT MM F	D-SVC #743	0.	.11-	
06/19/13	1,500	SOLD WF ADV GOVERNMENT MM F	D-SVC #743	1,500	.00	
07/01/13	0.11	PURCHASED WF ADV GOVERNMENT MM F	D-SVC #743	0	.11-	
08/01/13	0.1	PURCHASED WF ADV GOVERNMENT MM F	D-SVC #743	0	. 10-	
09/03/13	0.1	PURCHASED WF ADV GOVERNMENT MM F	D-SVC #743	0	. 10-	
10/01/13	0.1	PURCHASED WF ADV GOVERNMENT MM F	D-SVC #743	0	.10-	
11/01/13	0.1	PURCHASED WF ADV GOVERNMENT MM F	D-SVC #743	0	. 10-	
12/02/13	0.1	PURCHASED WF ADV GOVERNMENT MM F	D-SVC #743	0	. 10-	
01/02/14	0.11	PURCHASED WF ADV GOVERNMENT MM F	D-SVC #743	0	.11-	
02/03/14	0.1	PURCHASED WF ADV GOVERNMENT MM F	D-SVC #743	0	. 10-	
03/03/14	0.09	PURCHASED WF ADV GOVERNMENT MM F	D-SVC #743	0	.09–	



CASH MANAGEMENT TRANSACTION JOURNAL FOR THE PERIOD MAY 1, 2013 THROUGH APRIL 30, 2014 J.H. BAXTER REG TRUST ACCOUNT NUMBER 10742200

# CASH MANAGEMENT TRANSACTION JOURNAL

DATE	PAR VALUE/SHARES	DESCRIPTION	PRINCIPAL CASH	INCOME CASH
04/01/14	0.1	PURCHASED WF ADV GOVERNMENT MM FD-SVC #743	0.10-	
F ADV GO	VERNMENT MM FD-SVC	#743 TOTAL PURCHASES/DEPOSITS	1.23-	0.00